



## Freedom Of Information Requests

### Q4 2025 – Disclosure Log – Non-Personal Information Only

Date of Request	Requester Type	Request*	Date of Decision	Decision
4 November 2025	Journalist	<p>A copy of the most up-to-date version of the corporate risk register;</p> <p>A copy of the most up-to-date tracker for the implementation of recommendations from audits [limit to only outstanding recommendations related to high risks identified in audits];</p> <p>A list of all audits [both carried out internally and any external State audits of the agency] carried out in since January 2024 to include the month and year of the audit [completion date e.g. month signed off on] and the overall assurance rating received;</p> <p>A copy of the three most recent audits carried out by your internal audit team [or commissioned to be carried out by an external auditor];</p> <p>A copy of the minutes of any audit and risk committee [or if separate both the Audit and Risk committees] meetings in 2025 to date.</p>	2 December 2025	Partially Granted

17 November 2025	Individual	<p>"I am seeking records held by the Financial Services and Pensions Ombudsman (FSPO) concerning conflicts of interest in hiring and staffing issues, including, but not limited to, the following specific areas:</p> <p><b>Policies and Procedures:</b> HR policies, procedures, and guidelines relating to the classification and identification of potential conflicts of interest by persons applying to work in the DPC, and records detailing how such cases are managed and resolved.</p> <p><b>Definitions and Examples:</b> HR records, including internal guidance documents or training materials, containing definitions and examples of conflicts of interest in the context of recruitment.</p> <p><b>Unsuccessful Candidates:</b> Records relating to potential staff who were not offered appointments due to perceived conflicts of interest, from <b>November 1, 2023, to October 31, 2025</b>. This should include, in particular, the total number of such persons who were not appointed and the specific nature of the concern identified (please redact where necessary to protect personal information of applicants).</p> <p><b>Withdrawn Offers:</b> Records relating to persons offered employment, but who subsequently had their offers withdrawn due to perceived conflicts of interest, from <b>November 1, 2023, to October 31, 2025</b>. This should include, in particular, the total number of such persons and the specific nature of the concern identified (please redact where necessary to protect personal information of applicants).</p>	11 December 2025	Partially Granted
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		<p><b>Appeals and Litigation:</b> Details of any internal reviews, appeals, or litigation in the last four years arising from persons not offered appointments or who had offers of appointment withdrawn due to conflicts of interest concerns. I would prefer to receive the records in <b>electronic format</b> (e.g., PDF documents via email sent to this account)."</p>		
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\*Please note: The wording used in the description of the request may not be the exact wording of the original request.